

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Thomas H. LEE et al.

Title: DENSE ARRAYS AND CHARGE STORAGE DEVICES, AND METHODS FOR MAKING SAME

Appl. No.: 09/927,648

Filing Date: 08/13/2001

Examiner: B. Kebede

Art Unit: 2818

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.56**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR § 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR § 1.97 and § 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 9/20/02

By Leon Radomsky

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PATENT AND TRADEMARK OFFICE EMPLOYEE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Attorney Docket No. 035905/0104**

In re patent application of

Thomas H. LEE et al.

Serial No. 09/927,648

Group Art Unit: 2818

Filed: August 13, 2001

Examiner: Unassigned

For: DENSE ARRAYS AND CHARGE STORAGE DEVICES AND METHODS FOR  
MAKING SAME

**TRANSMITTAL LETTER FOR  
PROPRIETARY INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56 AND MPEP §§ 724.02 AND 724.04**

Commissioner for Patents  
Washington, D.C. 20231  
Sir:

Attached hereto is a Proprietary Information Disclosure Statement submitting  
proprietary information to the Patent and Trademark Office in accordance with the  
requirements of 37 C.F.R. §1.56 and MPEP §724. Applicants respectfully request that the  
information be considered only by the Examiner in charge of the above-identified  
application, or other authorized Patent and Trademark Office employee.

Respectfully submitted,

9/20/02  
Date

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PATENT AND TRADEMARK OFFICE EMPLOYEE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

ATTY. DOCKET NO. 035905/0104

In re Patent Application of:

Thomas H. LEE et al.

Serial No.: 09/927,648

Group Art Unit: 2818

Filed: August 13, 2001

Examiner: Unassigned

For: Dense Arrays and Charge Storage Devices and Methods for Making Same

**PROPRIETARY INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56 AND MPEP §§ 724.02 AND 724.04**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following is a listing of copending U.S. patent applications which relate to the above-identified application.

<u>Attorney Docket Number</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventors</u>
035905/0106	09/961,278	9/25/2001	Walker
035905/0107	09/983,988	10/26/2001	Walker, Petti
035905/0116	10/095,962	3/13/2002	Herner
035905/0117	09/203,213	6/27/2002	Patel, Ilkbahar, Scheuerlein, Walker
035905/0118	10/066,376	2/5/2002	Kouznetsov, Walker

Applicants assume that the Examiner will have access to all of the references cited in the co-pending applications. However, if the Examiner wishes applicants to submit copies of each of the references cited in the co-pending applications, the Examiner is requested to inform the applicants. Applicants consider the aforementioned documents and the disclosure of their existence as pending applications to constitute proprietary information. Accompanying this Proprietary Information Disclosure Statement is a transmittal letter indicating that the materials contained herein are proprietary, as required by MPEP § 724.02.

TIMING OF THE DISCLOSURE AND FEE

The instant Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(c) before the mailing of an office action and therefore no fee is required.


Applicants respectfully request that the Examiner consider the foregoing information and provide in the next official communication the information set forth in MPEP § 724.04(a), so that the applicants can, if appropriate, subsequently file a petition to expunge those materials, as provided according to MPEP § 724.05.

Respectfully submitted,

Date

*9/26/02*

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